

# NOVUS CORPORATE FINANCE PTE LTD

## PRIVACY POLICY

This Policy applies to the Personal Data which Novus Corporate Finance Pte Ltd ("NCF", "we", or "us") may collect from you. By providing your personal data, you agree to the terms of this Policy and consent to our collection, use, and disclosure of your personal data including those of individuals who are connected to or associated with you including, but not limited to, your directors or legal representatives, shareholders, partners and beneficial owners ("**Associated Persons**") in accordance with this Policy.

### 1. What Personal Data Do We Collect?

"**Personal Data**" refers to any information about you from which you can be identified and includes:

- a. Your name, telephone number, email address;
- b. Identification number and other information to verify your identity;
- c. Any other information reasonably required in order for us to provide the services requested by you; and
- d. Any other data permitted by or required to comply with applicable laws and regulations.

### 2. Sources of Information

Your Personal Data may be collected in the following ways:

- a. when you provide or submit information through your dealings and agreements with us;
- b. publicly available or publicly accessible information, where applicable; and
- c. written or verbal communications or documents provided to us prior to and during the course of our contractual or pre-contractual dealings with you.

### 3. Why We Collect Your Personal Data

We may collect, use, and disclose your Personal Data for the following purposes ("**Purpose**"):

- a. contacting and providing you our services, such as financial advisory, capital raising, and mergers and acquisitions;
- b. advising or acting for you on matters stated in our mandate letters or agreements with you; and
- c. compliance with applicable laws and regulations.

We take reasonable steps to ensure your Personal Data is only retained for as long as it is needed or as required by law.

### 4. How is Your Personal Data Protected?

NCF maintains reasonable physical, technical, and administrative security measures to safeguard your Personal Data. Your Personal Data will be protected and kept confidential but, subject to the provisions of any applicable law, may be disclosed for the Purpose listed above (where applicable) to the following parties:

- a. professional advisers or consultants such as auditors or lawyers, whether engaged by you or the Company; and
- b. service providers, contractors, and agents, who perform functions on our behalf; and
- c. legal and regulatory authorities.

## **5. Rights to Your Personal Data**

You or your Associated Persons have the right to request access to or make corrections to your Personal Data records, as well as to object to the processing of the Personal Data for legitimate reasons.

If you provide us with information on your Associated Persons, it is your responsibility to inform them and obtain their consent to the processing of their Personal Data.

## **6. Contact Details**

If you have any question on this Policy or on your Personal Data, please contact our Data Protection Officer at:

Novus Corporate Finance Pte Ltd  
7 Temasek Boulevard, #04-02 Suntec Tower 1, Singapore 038987  
Email: [contactus@novuscf.com](mailto:contactus@novuscf.com)  
Phone: +65 69502188